



Customer 23364

BACON & THOMAS
625 Slaters Lane 4th Fl., Alexandria, VA 22314

77 F. 3d PCT/PTO 19 NOV 2001

PATENT APPLICATION
(PENDING)

Serial No: 09/926,062

Filed: 23 August 2001

Attorney Docket: PLAS3002/JEK

Applicant(s): Reinhard PLASCHKA et al.

☐ MAILROOM☐ ART UNIT☒ PCT

COPY

Special Instructions:

The PTO stamp hereon acknowledges receipt of:

- ☐ Amendment/Response ☒ Missing Parts Response w/Decl. (\$130.00) ☐ Request for Refund
☒ Check \$170.00 ☐ Appeal Brief (Triplicate) ☐
☐ Issue Fee ☐ Priority Documents(s) ☒ Assignment, including cover sheet and fee of \$40.00
☐ Preliminary Amendment ☐ Notice of Appeal
☐ Extension of Time Petition ☐ Substitute Declaration
☐ Terminal Disclaimer ☒ Information Disclosure Stmt. ☒ w/refs.
☐ Reply Brief (Triplicate) ☐ Request for CPA
☐ Sheets of Drawings - ☐ Formal ☐ Informal
☐ Sm. Entity Statements - ☐ Inv. ☐ Sm. Bus. ☐ Non-Inv. ☐ Non-Profit
☒ Communication
☐

Filed By: JEK/tmf

Due Date: 11/19

OFFICIAL
RECEIVED
CENTRAL FAX CENTER
JUN 30 2004

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

COPY

Inventor: PLASCHKA et al.

Examiner: Unassigned

Serial No: 09/926,062

Art Unit: Unassigned

Filed: August 23, 2001

Atty Dkt: PLAS3002

For: VALUE DOCUMENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON
ACCOMPANYING FORM PTO-1449 SUBMITTED FOR CONSIDERATION**

International Search Report dated June 8, 2000 in related PCT application.
German Search Report dated October 13, 1999 in related German application
199 07 697.9 filed February 23, 1999.

Serial Number: 09/926,062

CONCISE STATEMENT OF RELEVANCY
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)

The documents mentioned on the accompanying Form PTO-1449 are mentioned in the International Search Report dated June 8, 2000 and the German Search Report dated October 13, 1999 that accompanies this paper. The relevancy of the documents is evident from the International Search Report and the German Search Report.

All of the documents relate to data carriers having security elements.

STATEMENT REGARDING TRANSLATIONS

English language translations other than abstracts are not presently available for the non-English language documents.

DE 197 31 968 is equivalent to WO 99/04983 which includes an English language abstract on the face of the document submitted herewith.

DE 197 18 859 is equivalent to WO 98/50238 which includes an English language abstract on the face of the document submitted herewith.

DE 196 39 299 is accompanied with an English language translation of the abstract submitted herewith.

DE 195 48 528 is equivalent to WO 97/23357 which includes an English language abstract on the face of the document submitted herewith.

DE 39 42 663 is accompanied with an English language translation of the abstract submitted herewith.

WO 90/13877 includes an English language abstract on the face of the document submitted herewith.

Serial Number: 09/926,062

STATEMENT OF CUMULATIVE INFORMATION

Some of the documents identified on the PTO-1449 form are equivalent to those documents mentioned in the German Search Report and the International Search Report.

U.S. Patent No. 5,714,231 is equivalent to DE 43 135 21.

U.S. Patent No. 4,631,222 is equivalent to DE 34 22 910.

U.S. Patent No. 6,036,232 is equivalent to DE 196 11 383.

U.S. Patent No. 6,284,396 is equivalent to DE 195 29 171.

U.S. Patent No. 5,631,039 is equivalent to DE 695 05 539.

U.S. Patent No. 5,166,283 is equivalent to DE 38 56 001.

Canadian Patent No. 2,032,587 is equivalent to EP 0 435 029.

STATEMENT OF TIMELINESS

This Information Disclosure Statement is submitted within three months of (i) the filing date of the above-identified U.S. National Patent application, or (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

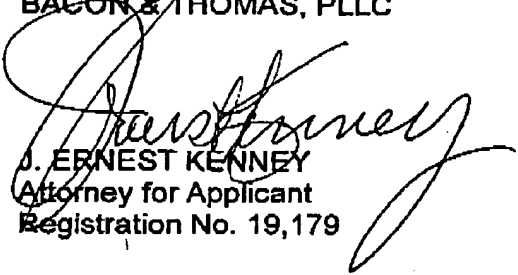
Serial Number: 09/926,062

CERTIFICATION

It is hereby certified that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,
BACON & THOMAS, PLLC


J. ERNEST KENNEY
Attorney for Applicant
Registration No. 19,179



Customer 23384

BACON & THOMAS, PLLC
625 Slaters Lane - 4th Floor
Alexandria, VA 22314-1176
Telephone: (703) 683-0500
Facsimile: (703) 683-1080

Date: November 16, 2001

S:\Producer\jek\PLASCHKA - 826062\IDS.wpd



Customer 23364

Sheet 1 of 2

B/O Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	Atty. Docket Number	Serial Number
	PLAS3002/JEK	09/926,062
	Applicant	
	Reinhard PLASCHKA et al.	
	Filing Date	Group
	23 August 2001	unassigned

U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	4,514,085	April 1985	Kaye			
	4,631,222	Dec. 1986	Sander			
	5,166,283	Nov. 1992	Nishikawa et al.			
	5,422,744	June 1995	Katz et al.			
	5,631,039	May 1997	Knight et al.			
	5,714,231	Feb. 1998	Reinhart			
	6,036,232	March 2000	Kaule et al.			
	6,284,396	Sept. 2001	Kaule et al.			

Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	197 31 968	Jan. 1999	Germany				X
	197 18 859	Nov. 1998	Germany				X
	196 39 229	June 1997	Germany (w/English abstract)				X
	195 48 528	June 1997	Germany				X
	39 42 663	June 1991	Germany (w/English abstract)				X
	0 383 376	Aug. 1990	Europe				
	90/13877	Nov. 1990	WIPO				X
	43 13 521	June 1994	Germany				X
	34 22 910	Sept. 1985	Germany				X
	196 11 383	Sept. 1997	Germany				X
	195 29 171	Feb. 1997	Germany				X
	695 06 539	June 1999	Germany				X
	38 56 001	March 1998	Germany				X
	0 604 900	July 1994	Europe				
	0 683 471	Nov. 1995	Europe				
	93/125 06	June 1993	WIPO				
	2,032,587	July 1996	Canada				
	0 806 457	Nov. 1997	Europe				

S:\Producer\jek\PLASCHKA - 926062\ids 1449.wpd

Sheet 2 of 2

B/O Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	Atty. Docket Number PLAS3002/JEK	Serial Number 09/926,062
	Applicant Reinhard PLASCHKA et al.	
	Filing Date 23 August 2001	Group unassigned

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

		MAKOW, David: REFLECTION AND TRANSMISSION OF POLYMER LIQUID-CRYSTAL COATINGS AND THEIR APPLICATION TO DECORATIVE ARTS AND STAINED GLASS; In: COLOR research and application; Vol. 11, No. 3, 1986, S. 205 - 208

Examiner	Date Considered
----------	-----------------

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

41
OFFICIAL

J. ERNEST KENNEY
EUGENE MAR
RICHARD E. FICHTER
THOMAS J. MOORE
ERIC S. SPECTOR
FELIX J. D'AMBROSIO
JOSEPH DEBENEDICTIS*
BENJAMIN E. URCIA*
WONKI PARK*
JUSTIN J. CASSELL

LAW OFFICES
BACON & THOMAS, PLLC
625 SLATERS LANE - FOURTH FLOOR
ALEXANDRIA, VIRGINIA 22314-1176
U.S.A.

RECEIVED
CENTRAL FAX CENTER
JUN 30 2004

TELEPHONE
(703) 683-0500

FACSIMILE
(703) 683-1080
(703) 683-0884

E-Mail
mail@baconthomas.com

GEORGE CHUNG CHIN CHEN*

* BAR OTHER THAN VA
* REG. PATENT AGENT

FACSIMILE COMMUNICATION

To: Art Unit 3722
Fax #: 703-872-9306
Re: U.S. Patent Appln. No. 09/926,062
From: Justin J. Cassell
Total pages: 8

Date: June 30, 2004

Confidential/Privileged

This communication contains confidential information that is intended to be received and read only by the party named as addressee (listed after "To:" at the left). This communication may contain information that is subject to the attorney/client privilege and/or a court order restricting its dissemination. No one else is entitled to read, use, copy or disseminate this communication or the information contained herein. If you are not the addressee, or the agent of the addressee, then contact us immediately by telephone (collect) or facsimile and arrangements will be made for the return to us of this communication.

Thank you, BACON & THOMAS, PLLC.

Message: The examiner in the above-identified application contacted me today to advise me that the U.S. PTO had no record of the Information Disclosure Statement that was November 19, 2001. A true copy of the Information Disclosure Statement (including U.S. PTO stamped receipt card) filed on November 19, 2001 is enclosed.